



# OHIO DEFERRED COMPENSATION

OHIO PUBLIC EMPLOYEES DEFERRED COMPENSATION PROGRAM

**OHIO DEFERRED COMPENSATION  
REQUEST FOR PROPOSALS (RFP)  
FOR A 457(B) PLAN  
FOR  
Application Modernization Consulting Services**

**Vendor Pre-Proposal Meeting Minutes**

**ISSUED 06/16/2014**



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## Meeting Attendees:

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Nick Scafria - CSC - [scafaria@csc.com](mailto:scafaria@csc.com)

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## Meeting Minutes:

Doug Lepper Covered the following information:

1. Program Highlights
  - a. Third Largest 457 program in the US
  - b. \$10.5 Billion in Assets
  - c. Approximately 180,000 participants
  - d. 21 Administrative Staff
  - e. 46 NRS Staff
2. Recordkeeping System
  - a. Custom Application
  - b. COBOL Based
  - c. IBM iSeries 520 platform
  - d. Project Objective: Implement a stable, state-of-the-industry, fully integrated solution capable of supporting Ohio DC's mission well into the future
3. Technical Proposal and Cost Proposal must be separately bound and packaged
4. Vendor must provide original proposal, four hard copy proposals, and one electronic copy
5. Proposals due 06/30/2014 by 4:00 PM EDT.  
Revised: Proposals are now due 07/07/2014 by 4:00 PM EDT
6. Supported by 4 Fulltime and 1 Part-time Staff
7. Reviewed Calendar of Events



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- a. Ohio DC staff will seek Board approval in November as a part of the 2015 budget. The project cannot move forward before cost is finalized with Board.

## QUESTION AND ANSWERS

1. Question about the format of the proposal that Doug had already captured and to which is preparing response

*See question 132 in the Q & A document*

2. Question about the overall solution structure for Imaging

*Imaging is currently performed with paper documents stored as PDF, the Account Number used as the document file name. There is no workflow, no automation, and no packaged imaging software. The expectation of the new system to provide the same or similar interface for a participant to select on a screen the account number and the image is shown.*

3. Question about detailing the evaluation criteria

No further clarification is provided – vendors should refer to RFP section D.2.1 - Evaluation Methodology Summary.

4. Question about expectations of hardware vs. utilizing a private cloud

*The preferred solution will utilize a private cloud, but the solution can also include transformation from on premises hardware to cloud. The expectation is that the selected bidder will adequately define the requirements for the cloud based solution.*

Clarification: See cloud RFP process in RFP Addendum

5. Question about the specific security requirements.

*The preferred solution will define the security protocols that best match the requested standards.*

6. Question about the business need for FedRAMP cloud standards.

*Different standards than FedRAMP will be considered provided that those standards meet the maximum security needs requested. The expectations are that the selected vendor will assist with defining the cloud provider requirements.*

7. Question about evaluating the cost proposals for on, off, near shore resources

*The preferred solution will include 100% onshore resources, near shoring within the US is acceptable. Alternatives will be considered provided the solution is well vetted and all security needs are met by all personnel. Proposals that include alternatives*



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*should highlight the options and difference between the options. Multiple proposals will not be allowed.*

8. Question about the cloud needs.

*The preferred solution will include the effort to develop the cloud solution once the provider is selected.*

9. Question about validating future architecture needs.

*The future state as defined in the roadmap is the suggested architecture and definition of the solution. If a bidder has compelling reason to define an alternative, Ohio DC will evaluate suggestions and completeness of the methodology to achieve success using the suggested alternatives.*

10. Question about accepting Software as a Service (SaaS) solutions.

*SaaS will be considered.*

11. Question about the involvement of current IT staff.

*The expectation is that up to ½ of the existing resource staff will be available to support the project and have time for training. The expectation is that training will be ongoing and the IT staff will be trained regularly about system features. NRS will not have training, but will be trained by the IT staff. The training of the Ohio DC field reps will be the responsibility of the NRS staff.*

12. Question about mobile devices support.

*No need to support mobile devices. NRS is currently given a subset of data that is published to their database. They return data to Ohio DC, Ohio DC performs from ETL, and then returns the information.*